Documents Needed

WADDELL & REED Financial Advisors™

The following documents will be needed to properly study, analyze, and prepare a personal plan for you. This material will be treated confidentially and returned when the planning process is completed, or earlier if requested.

PERSONAL FILES

- ◯ Income tax returns for previous two years including W-2s and 1099s
- ◯ Wills and Powers of Attorney for healthcare and financial decisions
- ◯ Trust Agreements
- ◯ Social Security benefit statement if available
- ◯ Major asset purchase details
- ◯ Cash Flow Worksheet
- ◯ Other ___________________________

EMPLOYER

- ◯ Two most recent paystubs
- ◯ Employee benefits booklets
- ◯ Retirement savings plans available through employer
- ◯ Pension plans
- ◯ Other ___________________________

BANK OR CREDIT UNION

- ◯ Checking account statements
- ◯ Savings / CDs / Money Market account statements
- ◯ Loan documents including mortgage
- ◯ Credit Card statements
- ◯ Other ___________________________

BROKER OR MUTUAL FUND COMPANY

- ◯ Latest monthly statements
- ◯ Other ___________________________

INSURANCE COMPANY

- ◯ Latest life insurance policy information and annuity account statements
- ◯ Health insurance / hospital & major medical policy information
- ◯ Disability income insurance policy information
- ◯ Property & Casualty policy information
- ◯ Long-Term Care policy information
- ◯ Other ___________________________

BUSINESS

- ◯ Buy-Sell Agreements
- ◯ Income tax returns
- ◯ Deferred Compensation Agreements
- ◯ Stock / Options / Bonus Plans
- ◯ Other ___________________________

ADDITIONAL COMMENTS:

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